Inactivated whole influenza virus particle vaccines induce neutralizing antibodies with
 an increase in immunoglobulin gene subclones of B-lymphocytes in cynomolgus
 macaques

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#### 28 Abstract

29 The All-Japan Influenza Vaccine Study Group has been developing a more effective 30 vaccine than the current split vaccines for seasonal influenza virus infection. In the present 31 study, the efficacy of formalin- and/or β-propiolactone-inactivated whole virus particle 32 vaccines for seasonal influenza was compared to that of the current ether-treated split 33 vaccines in a nonhuman primate model. The monovalent whole virus particle vaccines or 34 split vaccines of influenza A virus (H1N1) and influenza B virus (Victoria lineage) were 35 injected subcutaneously into naïve cynomolgus macaques twice. The whole virus particle 36 vaccines induced higher titers of neutralizing antibodies against H1N1 influenza A virus and 37 influenza B virus in the plasma of macaques than did the split vaccines. At challenge with 38 H1N1 influenza A virus or influenza B virus, the virus titers in nasal swabs and the increases 39 in body temperatures were lower in the macaques immunized with the whole virus particle 40 vaccine than in those immunized with the split vaccine. Repertoire analyses of 41 immunoglobulin heavy chain genes demonstrated that the number of B-lymphocyte 42 subclones was increased in macaques after the 1<sup>st</sup> vaccination with the whole virus particle 43 vaccine, but not with the split vaccine, indicating that the whole virus particle vaccine 44 induced the activation of vaccine antigen-specific B-lymphocytes more vigorously than did 45 the split vaccine at priming. Thus, the present findings suggest that the superior antibody 46 induction ability of the whole virus particle vaccine as compared to the split vaccine is 47 attributable to its stimulatory properties on the subclonal differentiation of antigen-specific 48 B-lymphocytes.

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50 Keywords: influenza, vaccine, nonhuman primate model, immunoglobulin repertoire,

51 somatic hypermutation

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#### 53 **1. Introduction**

54 The influenza virus causes seasonal epidemic and occasional pandemic infections in humans worldwide. In addition, severe infection with influenza viruses results in hundreds 55 56 of thousands of deaths every year [1,2]. Prevention of influenza virus infection by 57 vaccination is the best way to minimize severe cases. However, the current influenza split 58 vaccines (SVs) do not induce effective immunity in naïve individuals due to low 59 immunogenicity, which is a concern with SVs, especially for future pandemics caused by 60 new strains in humans. Therefore, inactivated vaccines that are more immunogenic than SVs 61 are required.

62 To develop a more potent vaccine than SVs, we have evaluated the efficacy of whole 63 virus particle vaccines (WPVs) that are inactivated by formalin and/or  $\beta$ -propiolactone for 64 seasonal, pandemic, and highly pathogenic avian influenza viruses using mouse and 65 nonhuman primate models [3-6]. In the previous studies, antibody and T-lymphocyte 66 responses were more vigorously induced in animals vaccinated with WPVs than in those 67 vaccinated with SVs. Furthermore, we revealed that vaccination with WPVs induced the upregulation of inflammatory cytokines, including interleukin-6 (IL-6), monocyte 68 69 chemoattractant protein-1 (MCP-1), and interferon-inducible protein of 10 kD (IP-10), as 70 part of the innate immune responses since RNA in WPVs that is effectively incorporated by 71 antigen-presenting cells activates RNA-sensing pathways and induces an inflammatory cytokine response [6,7]. However, the process between the early production of inflammatory 72 73 cytokines and effective antibody and T-lymphocyte responses due to WPVs has not been 74 clarified.

To examine the process of effective induction of acquired immune responses, including the induction of neutralizing antibodies by WPVs, we compared the efficacy of vaccines and the changes in the immunoglobulin (Ig) gene repertoire after vaccination with WPVs to those 78 after vaccination with SVs in cynomolgus macaques. WPVs for H1N1 influenza A virus and 79 influenza B virus induced neutralizing antibodies in the plasma of macaques, and showed 80 more effective protection against the propagation of seasonal influenza viruses than did SVs. 81 Furthermore, an increase of subclones in responding B-lymphocytes designated by the 82 analysis of sequences and the frequency of Ig heavy chain genes, which suggests somatic 83 hypermutation and the production of high affinity antibodies against the antigen, was found 84 in macaques vaccinated with WPVs, but not in those vaccinated with SVs, especially after 85 the 1<sup>st</sup> vaccination. These results are concordant with the higher priming efficacy and earlier induction of neutralizing antibodies by WPVs than by SVs [6-9]. 86

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#### 88 **2. Materials and methods**

#### 89 **2.1. Ethics statement**

90 This study was carried out in strict accordance with the Guidelines for the 91 Husbandry and Management of Laboratory Animals of the Research Center for Animal 92 Life Science at Shiga University of Medical Science, and the Standards Relating to the 93 Care and the Fundamental Guidelines for Proper Conduct of Animal Experiments and 94 Related Activities in Academic Research Institutions under the jurisdiction of the Ministry 95 of Education, Culture, Sports, Science and Technology of Japan. The protocols were 96 approved by the Shiga University of Medical Science Animal Experiment Committee 97 (Permit numbers: 2015-6-3HH and 2016-6-3(H2)). The Research Center for Animal Life 98 Science at the Shiga University of Medical Science has a permit for the importation of 99 cynomolgus macaques. Regular veterinary care and monitoring, balanced nutrition and 100 environmental enrichment were provided by the Research Center for Animal Life Science 101 at the Shiga University of Medical Science. The macaques were euthanized at the endpoint 102 (7 days after virus inoculation) using ketamine/xylazine followed by intravenous injection

103 of pentobarbital (200 mg/kg). Animals were monitored every day during the study to

104 undergo veterinary examinations to help alleviate suffering. Animals would be euthanized

105 if their clinical scores reached 15, which is a humane endpoint (Supplemental Table S1),

106 but no macaques used in the present study reached a clinical score of 15.

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108 **2.2. Animals** 

109 Healthy 4- to 11-year-old female and male cynomolgus macaques from the Philippines 110 and Vietnam were used in the present study. All procedures were performed under 111 intramuscular ketamine (5 mg/kg) and xylazine (1 mg/kg) anesthesia, and all efforts were 112 made to minimize suffering. Food pellets of CMK-2 (CLEA Japan, Inc., Tokyo, Japan) were 113 provided once a day after recovery from anesthesia and drinking water was available ad 114 *libitum.* Animals were singly housed in cages equipped with bars to climb up and puzzle 115 feeders for environmental enrichment under controlled conditions of humidity (39% - 61%), 116 temperature (23.9  $^{\circ}C$  – 25.1  $^{\circ}C$ ), and light (12-h light/12-h dark cycle; lights on at 8:00 a.m.). 117 In the text and figures, individual macaques are distinguished by identification numbers 118 (Supplemental Table S2). The absence of influenza virus-specific antibodies in their plasma 119 was confirmed before experiments using an antigen-specific enzyme-linked immunosorbent 120 assay (ELISA) as described below. Two weeks before virus inoculation, a telemetry probe 121 (TA10CTA-D70, Data Sciences International, St. Paul, MN) was implanted in the peritoneal 122 cavity of each macaque under ketamine/xylazine anesthesia followed by isoflurane 123 inhalation to monitor the body temperature. The macaques used in the present study were 124 free from herpes B virus, hepatitis E virus, Mycobacterium tuberculosis, Shigella spp., 125 Salmonella spp., and Entamoeba histolytica.

126 Under ketamine/xylazine anesthesia, two cotton sticks (TE8201, Eiken Chemical, Ltd.,

127 Tokyo, Japan) were used to collect fluid samples in the nasal cavities, and the sticks were

subsequently immersed in 1 mL of Hanks balanced salt solution (HBSS) containing 0.1% bovine serum albumin (BSA) and antibiotics. Blood samples were collected with the addition of heparin. Peripheral blood mononuclear cells (PBMCs) and plasma were separated using Leucosep tubes (Greiner Bio-One International, Kremsmunster, Germany).

133 **2.3. Vaccines** 

134 Monovalent WPVs and SVs were produced by Denka Seiken Co., Ltd. and Daiichi 135 Sankyo Co., Ltd [6]. Each monovalent vaccine contained a reassortant with the HA and NA 136 antigens of A/California/07/2009 (H1N1) or B/Texas/2/2013 (Victoria lineage). Vaccine 137 virus strains were propagated in embryonated chicken eggs, and purified from the allantoic 138 fluids through sucrose density gradient zonal centrifugation. Thereafter, WPVs were 139 prepared from the purified virions by inactivation with formalin and/or β-propiolactone 140 according to the standard methods used by each vaccine manufacturer. SVs were prepared 141 by disrupting the purified virions with ether, according to the license for the current seasonal 142 influenza vaccine production [6]. The vaccine (15 µg/dose of HA protein in the vaccine 143 inactivated with formalin and  $\beta$ -propiolactone, and 50 µg/dose of total protein (at least 15 144  $\mu g$  of HA protein) in the vaccine inactivated with  $\beta$ -propiolactone) was inoculated 145 subcutaneously into macaques using syringes twice with a 3-week interval between 146 injections under ketamine/xylazine anesthesia (Supplemental Fig. S1). SVs used in parallel 147 contained the same amount of HA protein or total protein as WPVs. HA protein 148 concentrations in WPVs and SVs were determined using a single-radial-immunodiffusion 149 method.

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#### 151 **2.4. Viruses**

152 The virus strains for challenge infection and neutralization tests were A/Narita/1/2009

153 (H1N1) (NCBI taxonomy ID: 645520, GISAID: EPI ISL 30176), 154 A/Singapore/GP1908/2015 (IVR-180) (H1N1) (GISAID: EPI ISL 236221), and 155 B/Maryland/15/2016 (Victoria lineage) (GISAID: EPI ISL 243867) ,which were kindly 156 provided by Dr. Shinji Watanabe, National Institute of Infectious Disease (NIID), Japan [9], and B/Yokohama/14/2015 (Victoria lineage) (GISAID: EPI ISL 177028), which was 157 158 kindly provided by Dr. Chiharu Kawakami, Yokohama City Institute of Public Health, Japan. 159 The viruses were propagated once in Madin-Darby canine kidney cells (MDCK cells, 160 American Type Culture Collection, Manassas, VA) at the Shiga University of Medical 161 Science.

162 The macaques were challenged with the virus  $(4 \times 10^5 \text{ TCID}_{50})$  by inoculation into the 163 nostrils with pipettes 4 weeks after the 2<sup>nd</sup> vaccination under ketamine/xylazine anesthesia. 164 Experimental infection was performed in the biosafety level 3 facility of the Research Center 165 for Animal Life Science, Shiga University of Medical Science.

To assess virus propagation, serial dilutions of swab samples were inoculated onto confluent MDCK cells as described previously [3]. Cytopathic effects were examined under a microscope 72 h later, and the virus titers were calculated. The sum of the virus titers for 7 days (virus titer areas under the virus titer time curves (virus titer AUCs) were calculated as described previously [10].

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#### 172 **2.5. Cells**

173 The MDCK cells were grown in Eagle's minimal essential medium (EMEM, Nichirei 174 Biosciences, Tokyo, Japan) supplemented with 10% inactivated fetal bovine serum 175 (Capricorn Scientific GmbH, Ebsdorfergrund, Germany), 100 U/mL of penicillin, 100  $\mu$ g/mL 176 of streptomycin, and 20  $\mu$ g/mL of gentamicin (Nacalai Tesque, Kyoto, Japan). The cells 177 were used for the propagation of viruses and for neutralization assays.

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#### 179 **2.6. Detection of antibodies specific for vaccine antigen by ELISA**

180 The antibody titers of plasma samples against split antigens were determined by ELISA. 181 Ninety-six-well plates were coated with 50 µL of purified virus antigen (20 µg/mL). After 182 blocking with phosphate-buffered saline (PBS) containing 0.1% BSA, serially diluted 183 samples (50 µL) were incubated overnight in the coated plates. After washing five times, 184 horseradish peroxidase-conjugated anti-monkey IgG antibody (MP Biomedicals, 185 Inc./Cappel, Aurora, OH; 1:2000  $\times$  50 µL) was added and incubated for 1 h at room 186 temperature. After washing six times, the horseradish peroxidase activity was assessed using 187 3,3',5,5'-tetramethyl benzidine substrate (100  $\mu$ L). The reaction was stopped by the addition 188 of 1 M hydrogen chloride (100 µL). The optical density (OD) was measured at 450 nm and 189 620 nm. Results are shown after subtraction of the OD at 620 nm from the OD at 450 nm.

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#### 191 **2.7. Virus neutralization assay**

192 Plasma samples were pretreated with a receptor-destroying enzyme (RDEII, Denka Seiken, Tokyo, Japan) at 37 °C overnight, then inactivated at 56 °C for 1 h. The diluted 193 194 samples were mixed with 100 TCID<sub>50</sub> of A/Narita/1/2009 (H1N1), 195 A/Singapore/GP1908/2015 (IVR-180) (H1N1), B/Yokohama/14/2015 and 196 B/Maryland/15/2016 viruses for 30 min. Then the mixture was added onto an MDCK monolayer. After 1 h of incubation, the cells were cultured in EMEM containing 0.1% BSA 197 and 5 µg/mL trypsin. After incubation at 35 °C for 3 days, the number of wells with 198 199 cytopathic effects was counted in quadruplicate cultures. Neutralization titers were 200 determined as the dilution at which cytopathic effects were observed in 50% of the wells.

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#### 202 **2.8. Histopathological examination**

203 Lungs obtained at autopsy were immersed in 10% neutral buffered formalin for fixation, 204 embedded in paraffin, and cut into 3-µm-thick sections on glass slides. The sections were 205 stained with hematoxylin and eosin (H & E), and observed under a light microscope. In each 206 macaque, 6 to 8 lung sections were scored independently by three pathologists to calculate 207 the average scores in each section as a following scoring; 0: normal lung, 1: mild destruction 208 of epithelium in trachea and bronchus, 2: mild infiltration of inflammatory cells around the 209 periphery of bronchioles, 3: moderate infiltration of inflammatory cells around the alveolar 210 walls, resulting in alveolar thickening, 4: mild alveolar injury accompanied by vascular 211 damage of  $\leq 10\%$ , 5: moderate alveolar and vascular injury (11% to approximately 30%), 6: 212 severe alveolar injury with hyaline membrane-associated alveolar hemorrhage of 31% to 213 approximately 50%, 7: severe alveolar injury with hyaline membrane-associated alveolar 214 hemorrhage of  $\geq 51\%$  [11].

215

# 216 2.9. RNA preparation, cDNA synthesis, 5'-rapid amplification of cDNA ends (RACE) 217 PCR amplification, and next-generation sequencing for immunoglobulin gene

218 repertoire analysis

219 These processes were conducted by the method of Kono et al. [12] with 220 modifications. Total RNA was extracted from the PBMCs recovered from heparinized 221 blood by using a TRIzol Plus RNA Purification Kit (Thermo Fisher Scientific, Waltham, 222 MA), then the extracted RNA was used for first-strand cDNA synthesis by using a 223 SMARTer RACE cDNA Amplification Kit (Clontech, San Jose, CA) with oligo-dT-224 containing 5'-RACE CDS Primer A and SMARTer II A Oligonucleotide. Next, cDNAs 225 were amplified by PCR in a 20- $\mu$ L reaction mixture containing 0.5  $\mu$ L of unpurified 226 cDNA, 0.5 U of Ex Taq HS DNA Polymerase, 200 µM of each dNTP, and 250 nM of 227 primers in 1 × Ex Taq buffer (Takara Bio Inc., Shiga, Japan) with primers containing an

- 228 adaptor sequence for next-generation sequencing, the universal forward primers of 5'-
- 229 RACE (5'-
- 230 ACACTCTTTCCCTACACGACGCTCTTCCGATCTAAGCAGTGGTATCAACGCAGA
- 231 GT-3'), and reverse primers specific for Ig-constant-region-1 of IgM (5'-
- 232 GTGACTGGAGTTCAGACGTGTGCTCTTCCGATCTTCTCACAGGAGACGAGGGG
- 233 GAAAAGGGTTG -3'), IgGs (5'-
- 234 GTGACTGGAGTTCAGACGTGTGCTCTTCCGATCTCCAGGGGGGAAGACBGATGG
   235 GCCCTTGGTGG -3'), IgAs (5'-
- 236 GTGACTGGAGTTCAGACGTGTGCTCTTCCGATCTAGGYTCAGCGGGAAGACCT
- 237 TGGGKYTGGTC -3'), or IgE (5'-
- 238 GTGACTGGAGTTCAGACGTGTGCTCTTCCGATCTCAGCGGGGTCAAGGGGAAGA
- 239 CGGATGGGCTC -3') to amplify the repertoires of each isotype through thermal cycling
- 240 (94 °C for 2 min, 30 cycles of 94 °C for 30 s, 63 °C for 30 s, 72 °C for 30 s, and a final
- 241 extension at 72 °C for 5 min). Each PCR product was tagged with an index sequence for
- 242 next-generation sequencing and 8 bases of a sample identifier sequence (5'-
- 243 AATGATACGGCGACCACCGAGATCTACAC-[sample identifier sequence]-
- 244 ACACTCTTTCCCTACACGACGCTCTTCCGATCT -3' for the forward primer, and 5'-
- 245 CAAGCAGAAGACGGCATACGAGA-[sample identifier sequence]-
- 246 GTGACTGGAGTTCAGACGTGTGCTCTTCCGATCT -3' for the reverse primer) using a
- 247 minimal cycle for PCR cycling (94 °C for 2 min, 15 cycles of 94 °C for 30 s, 62 °C for 30
- s, 72 °C for 30 s, and a final extension at 72 °C for 5 min). All amplicons were mixed, and
- the 600–800-bp PCR products were gel-purified using NucleoSpin Gel and PCR Clean-up
- kits (Macherey-Nagel GmbH & Co., Düren, Germany). Next-generation sequencing was
- 251 performed using the Miseq reagent kit v3 (Illumina, Inc., San Diego, CA) according to the
- 252 manufacturer's protocol for the kit and a Miseq sequencer (Illumina).

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#### 254 2.10. Immunoglobulin gene repertoire analysis

255 The Ig gene sequences were analyzed according to a method described previously 256 [13]. The Ig heavy chain gene sequences of macaques were processed using IMGT/HighV-257 Quest [14,15] to annotate V and J germline gene segment usage, and the locations of the 258 complementarity determining regions (CDRs) and frame work regions were determined. 259 The output data were parsed using Change-O [16]. Germline sequences were then 260 reconstructed for each clonal cluster (VH) with D segment and N/P regions masked 261 (replaced with Ns) using the CreateGermlines.py function within Change-O. The V and J 262 gene usage frequency in each sample of macaques was calculated using countGenes in the 263 Alakazam (version 1.1.0) package [14,15]. 264 To identify B-lymphocyte clones (sequences derived from cells descended from a 265 common ancestor), sequences belonging to the same clonal B-lymphocyte lineage were 266 identified by a spectral hierarchical clustering method using the SCOPer Package (version 267 1.1.0) [16,17]. Briefly, sequences sharing the same Ig heavy chain variable (IGHV) and Ig 268 heavy chain joining (IGHJ) genes and junction lengths were grouped. Within groups, 269 sequences differing by a length-normalized Hamming distance were defined as clones. 270 This distance threshold was determined using a kernel density estimate with a cutoff of 271 90% nucleotide sequence identity in the CDR3 and the rest of the variable region. 272 To track the dynamics of each B-lymphocyte clone, the frequencies of each clone were 273 defined as the number of sequences within the clone divided by the total number of total 274 sequences in each sample. Vaccine-responsive lineages and challenge infection-responsive

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lineages were identified based on the fold-change (> 2-fold increase) in their frequencies

between week 0 (the day of the 1<sup>st</sup> vaccination) and week 2, and between week 7 (the day of

277 challenge infection) and week 8, respectively [17]. Subclones were categorized as identical amino acid sequences in the junctional regions (CDR3). Statistical analyses were performed
using R [18]. The ggplot2 (5 Wickham) package for R was used for constructing graphs.

280

**3. Results** 

## 3.1. Prevention of virus propagation in the nasal swab samples of macaques vaccinated with the whole virus particle vaccines after virus infection

284 To compare the efficacy of WPVs and SVs, cynomolgus macaques were 285 immunized with two subcutaneous vaccinations of the monovalent formalin- and β-286 propiolactone-inactivated WPV or ether-disrupted SV, which were prepared from vaccine 287 strain A/California/07/2009 (H1N1) (Supplemental Fig. S1, Fig. 1A-D). Four weeks after the 2<sup>nd</sup> vaccination, challenge virus A/Narita/1/2009 (H1N1) was inoculated into the nasal 288 289 cavities of the macaques. The viruses were detected in the nasal swab samples of the control 290 macaques until day 6 or 7 after virus infection (Fig. 1A). In the macaques immunized with 291 SV, the virus was detected until day 6, and the virus titers in their nasal swab samples were 292 lower than those in nasal swab samples of macaques inoculated with saline, but the 293 difference was not statistically significant (Fig. 1B). In the macaques vaccinated with WPV, 294 the virus was detected until day 5 in one of the macaques (H1W2) and only on day 5 in 295 another macaque (H1W1) (Fig. 1C). No virus was detected in one macaque (H1W3). The 296 virus titer AUCs of the macaques immunized with WPV was lower than that of macaques 297 inoculated with saline (Fig. 1D).

298 Protective effects of vaccination with monovalent WPVs were observed with the 299 A (H1N1) and B (Victoria) vaccines inactivated by  $\beta$ -propiolactone (Fig. 1E-K). Four weeks 300 after the 2<sup>nd</sup> vaccination, challenge virus strain A/Narita/1/2009 (H1N1) or 301 B/Yokohama/14/2015 (Victoria lineage) was inoculated into the nasal cavities of the 302 macaques. In the macaques immunized with SV, virus was detected until day 6 in the A

303 (H1N1) virus-challenged group and B (Victoria) virus-challenged group (Fig. 1E, I). In the 304 macaques vaccinated with WPV, the A (H1N1) virus and B (Victoria) virus were detected 305 until day 5 and day 4, respectively (Fig. 1F, J). No virus was detected in two macaques 306 infected with B (Victoria) virus (BW2 and BW3). The virus titer AUCs of the macaques 307 immunized with WPV were significantly lower than those of the macaques inoculated with 308 saline in the A (H1N1) virus-challenge group and that of macaques without vaccination or 309 immunized with SV in the B (Victoria) virus-challenge group (Fig. 1G, K). Thus, WPVs 310 inactivated by formalin/ $\beta$ -propiolactone were more effective than SVs for preventing the 311 propagation of the A (H1N1) and B (Victoria) viruses.

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## 313 3.2. Prevention of body temperature changes and pneumonia in the challenge infection 314 of macaques vaccinated with WPV

315 The suppressive effects of vaccination on the increase in body temperature due to 316 virus infection were compared among the WPV, SV, and control groups. The average 317 temperatures from 8 p.m. to 8 a.m. the next day were calculated on the basis of data for 318 individual macaques, then the average temperatures on each day were compared to those 319 from 8 p.m. the day before virus inoculation (day -1) to 8 a.m. on the day of virus inoculation 320 (day 0). With A (H1N1) virus-challenge infection, body temperature increases were detected 321 after challenge infection in macaques inoculated with WPV or SV, whereas in macaques 322 inoculated with WPV, the average temperatures on days 1, 2, 3, and 4 were significantly 323 lower than the average temperatures in macaques inoculated with saline (Fig. 2A). Similar 324 tendencies were seen in macaques vaccinated with WPVs inactivated with  $\beta$ -propiolactone, 325 although no statistically significant difference was detected (Fig. 2B, C). Thus, 326 immunization with WPV ameliorated the body temperature changes at challenge infection. 327 Since the pandemic H1N1 virus caused viral pneumonia in cynomolgus macaques

328 as previously reported [19], we examined the efficacy of vaccination with WPV on 329 pneumonia. Intranasal challenge of A/Narita/1/2009 (H1N1) in unvaccinated macaques 330 (saline) caused pneumonia with the infiltration of lymphocytes and macrophages in the 331 alveoli and the alveolar wall (organizing pneumonia) on day 7 after infection (Fig. 3A). 332 Severe pneumonia was seen in two macaques vaccinated with SV, and they showed 333 inflammation comparable to that in macaques inoculated with saline (Fig. 3B, D). In contrast, 334 very mild pneumonia with thin alveolar walls and abundant air space was observed in 335 macaques immunized with WPV (Fig. 3C). Focal lymphoid infiltration and bronchus-336 associated lymphoid tissues (BALTs) were detected in the macaques vaccinated with WPV. 337 Histological scores revealed significantly mild inflammation in the lungs of macaques 338 vaccinated with WPV compared to the control macaques (Fig. 3D). These results indicate 339 that vaccination with WPV is effective for the prevention of pneumonia.

340

## 341 3.3. Antibody responses after vaccination with whole virus particle vaccines in 342 macaques

343 To understand the immune responses that were associated with the preventative 344 effects of WPVs on virus propagation and pneumonia, we examined the antibody titers in plasma by ELISA and a neutralization assay. Two weeks after the 1st vaccination, IgG 345 346 specific for H1N1 vaccine antigen was detected in all macaques vaccinated with WPVs and 347 in two and one of three macaques vaccinated with SVs in the experiments shown in Fig. 4A and B. IgG specific for vaccine antigen was detected in all macaques after the 2<sup>nd</sup> vaccination 348 349 (4 weeks after the 1<sup>st</sup> vaccination). Neutralizing antibodies against the challenge strain 350 A/Narita/1/2009 (H1N1) were detectable in the plasma samples of all of the macaques 351 immunized with the WPVs 2 to 3 weeks after the 1st immunization, and the titers were increased after the 2<sup>nd</sup> vaccination (Fig. 4D, E). On the other hand, neutralizing antibodies 352

were detected only after the 2<sup>nd</sup> vaccination or challenge infection in macaques vaccinated with SVs. Neutralizing antibodies against the more recent strain A/Singapore/GP1908/2015 (IVR-180) (H1N1) were detected in the plasma samples of the macaques immunized with the WPVs, but in those of the macaques immunized with the SVs (Fig. 4G, H).

357 In influenza B virus vaccination, IgG specific for the Victoria lineage vaccine 358 antigen was detected in all macaques vaccinated with WPV and in one of three macaques vaccinated with the SV 3 weeks after the 1<sup>st</sup> vaccination and 2<sup>nd</sup> vaccination, respectively 359 360 (Fig. 4C). In two of three macaques vaccinated with SV, neutralizing antibody was detected after the 2<sup>nd</sup> vaccination, and it was not induced in the other macaque even after the challenge 361 362 infection (Fig. 4F). The level of neutralizing antibody against the challenge strain 363 B/Yokohama/14/2015 after the 1st vaccination increased more in the three macaques 364 vaccinated with WPV than that in the macaques vaccinated with SV. Neutralizing antibody against a more recent strain B/Maryland/15/2016 was detected two weeks after the 2<sup>nd</sup> 365 366 vaccination in one and three macaques vaccinated with SV and WPV, respectively (Fig. 4I).

367 A relationship between neutralization titers against the challenge strains and virus 368 titer AUCs were examined. There is a tendency of an inverse correlation between 369 neutralization titers and virus titer AUCs in the macaques vaccinated and infected with the 370 H1N1 virus (Fig. 4J, K), whereas a significant correlation between neutralization titers and 371 virus titer AUCs was seen in the macaques vaccinated and infected with the influenza B 372 virus (Fig. 4L). Thus, the WPVs against influenza A and B viruses induced antibody 373 responses more effectively than did the SVs in the macaque model, which contributed to 374 prevention and inhibition of virus propagation.

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376 3.4. Immunoglobulin repertoires in macaques vaccinated with the whole virus particle
377 vaccine

378 We compared the Ig repertoire in macaques vaccinated with the H1N1 WPV to 379 that in macaques vaccinated with the H1N1 SV to examine the characteristics of the 380 antibodies induced in macaques vaccinated with the WPV (Fig. 4). Peripheral blood cells 381 were collected before vaccination, at 2 weeks, 5 weeks, and 7 weeks after the 1st vaccination, 382 and at 1 week after the challenge infection (8 weeks after the 1<sup>st</sup> vaccination) (Supplemental 383 Fig. S1). Ig heavy chain genes were amplified by PCR using Igu-, Ig $\alpha$ -, and Ig $\gamma$ -specific 384 primers after the extraction of RNA and reverse transcription. Thereafter, the nucleotide 385 sequences were analyzed with a next-generation sequencer. At least 11,000 Ig sequence 386 reads were obtained in each sample of individual macaques (Supplemental Table S3). 387 Among the samples analyzed, 76 VH genes and 7 JH genes were identified (Supplemental 388 Figs. S2 and S3). There was no significant difference in the percentages of VH and JH gene 389 usage among the groups. IGHV4S7 gene and IGHJ4 gene were the most frequently detected 390 in all of the macaques.

391 Next, to examine the sequences at the clonal level, we grouped sequences 392 originating from identical V and J germline genes and had identical junctional lengths. 393 Thereafter, clonal lineages were identified by single-linkage clustering on the CDR3 394 sequence using a cutoff of 90% sequence identity (Table 1). The percentages of sequence 395 reads for each clone were calculated as the clone frequency, and clones with an increase of 396 more than 2-fold were assumed to respond to the stimulation (vaccination or challenge 397 infection) as previously reported [17]. The average numbers of clones that responded to the 398 1<sup>st</sup> vaccination (increased by more than 2-fold in sequence reads) with SV and WPV were 399 85.7 (3.23%) and 18.7 (1.49%), respectively. In macaques inoculated with saline, 98.7 400 clones (2.16%) increased from day 0 to day 14, and they were thought to indicate a 401 background response under the specific pathogen-free (SPF) condition (Table 2).

402

To examine the difference in clonal diversity among the groups, we analyzed the

403 amino acid length in CDR3 of clones with an increase of more than 2-fold after the 1st 404 vaccination (Fig. 5). In comparison between week 0 and week 2, the average and range of 405 the amino acid length did not differ between the SV group and WPV groups after the 1st 406 vaccination. On the other hand, the frequency of clones that increased by more than 10-fold 407 was higher in the WPV group than in the SV group, although no statistically significant 408 difference was detected (Table 3). These results suggest that the Ig clone diversity in the 409 WPV group seems to be smaller than that in the SV group in Table 2 since the percentage 410 of highly-responding clones in the WPV group was higher and the frequency of low-411 responding clones in the WPV group was lower than that in the SV group.

412 Since the levels of IgG specific for the vaccine antigen and the neutralization titers 413 in the WPV group were higher than those in the SV group, we hypothesized that B-414 lymphocytes were activated to increase subclones more effectively in macaques vaccinated 415 with WPV than in macaques vaccinated with SV. To examine this hypothesis, we compared 416 the number of subclones in each clonal population at each time point (Supplemental Fig. 417 S4A). In the macaques vaccinated with WPV, the average number of subclones in each clone 418 was significantly increased by 8.3-fold as compared to that of subclones before vaccination (P = 0.01; Supplemental Table S4; Fig. 6A), whereas the average fold increases in the 419 420 numbers of subclones in each clone were 3.0 and 5.8 in macaques inoculated with saline and SV, respectively. On the other hand, the increase in the number of subclones in B-421 lymphocyte clones was not remarkable after the 2<sup>nd</sup> vaccination with WPV (from week 2 to 422 423 week 5; data not shown).

Since IgG responses specific for vaccine antigens and the neutralization titers were increased 1 week after the challenge infection, we examined the number of clones with an increase in frequency from week 7 to week 8 and the numbers of their subclones. The average numbers of clones with an increase in frequency of more than 2-fold 1 week after 428 the challenge infection were 28.0, 126.3, and 40.3 in macaques inoculated with saline, SV, 429 and WPV, respectively (Table 4). The numbers of subclones 1 week after the challenge 430 infection were increased in 88%, 56%, and 83% of responding clones in macaques 431 inoculated with saline, SV, and WPV, respectively (Supplemental Fig. S4B). However, the 432 average numbers of subclones in vaccinated macaques did not increase (Fig. 6B).

433

#### 434 **4. Discussion**

435 We examined the protective efficacy of WPVs and SVs against seasonal influenza A and 436 B viruses using cynomolgus macaques in the present study. The influenza A virus (H1N1) 437 and influenza B virus titers in the nasal samples of macaques vaccinated with WPVs were 438 lower than those in the nasal samples of macaques vaccinated with SVs. Inflammation in the 439 lungs on day 7 after H1N1 virus infection was milder in the macaques immunized with 440 WPVs than that in the macaques immunized with SVs. Neutralizing antibodies against the 441 challenge viruses were more effectively induced in the macaques vaccinated with WPVs 442 than in those vaccinated with SVs. In addition, in the analysis of the Ig heavy chain gene 443 repertoire in macaques vaccinated with WPV, the number of subclones in clones responding 2 weeks after the 1<sup>st</sup> vaccination was increased. 444

445 The efficacy of WPVs was confirmed against the propagation of H1N1 influenza A virus 446 and influenza B virus in the Victoria lineage. In the present study, SVs for H1N1 virus 447 prepared by two manufacturers showed some effectiveness in preventing the propagation of 448 the A/Narita/1/2009 (H1N1) strain. However, the SV for influenza B virus in the Victoria 449 lineage did not prevent the propagation of the challenge strain B/Yokohama/14/2005 strain. 450 From the comparison of database sequences, two amino acids differ between the HA protein 451 in the H1N1 vaccine strain A/California/07/2009 and the HA protein in the challenge strain A/Narita/1/2009 (GISAID EPI176504 vs. EPI179437). On the other hand, there are 452

453 differences in four amino acids of the HA protein between the vaccine strain B/Texas/2/2013 454 and the challenge strain B/Yokohama/14/2015 (GISAID EPI443690 vs. EPI581800). 455 Among them, two amino acid substitutions at positions 194 and 196 are located in the 190-456 helix around the receptor-binding site [20]. Since WPV showed a protective effect against 457 the challenge with B/Yokohama/14/2015, it was thought that the antibodies induced by SV 458 were affected by the amino acid substitutions in the HA protein, and that the antibodies 459 induced by WPV were thus not induced by SV. We thought of two reasons to explain this 460 difference between the antibodies induced by WPV and the antibodies induced by SV. 461 Firstly, it is possible that the antibodies induced by WPV bound not only to the 190-helix 462 and the other substituted sites, but also to the conserved residues between the vaccine strain 463 and the challenge strain, whereas the antibodies induced by SV did mainly bound to the 190-464 helix and the other substituted sites (epitope spread) [21,22]. Secondly, it is possible that the 465 antibodies induced by WPV bound more strongly to the HA protein than did those induced 466 by SV; as a result, the amino acid substitutions had a small effect on the binding of the 467 antibodies induced by WPV (affinity difference) [23]. The latter possibility could be 468 supported by the increase of subclone numbers in B-lymphocytes that was shown in the 469 present study, suggesting the induction of somatic hypermutation and affinity maturation.

470 We have previously reported the efficacy of WPVs against various influenza virus 471 infections in animal models including mice and cynomolgus macaques [3-7.9]. In the 472 previous studies, neutralizing antibody and T-lymphocyte responses were more effectively 473 induced by vaccination with WPVs than by vaccination with SVs. One of the mechanisms 474 for the effective induction of immune responses by WPVs is thought to be an increase of 475 inflammatory cytokines after vaccination, which has been reported as an increase in the 476 serum levels of IL-6, MCP-1, and IP-10 in mice 1 to 2 days after WPV vaccination [6]. Early upregulation of IL-6 in plasma after viral infection was also related to an increase in 477

478 neutralizing antibodies against severe acute respiratory syndrome coronavirus 2 (SARS-479 CoV-2) in cynomolgus macaques [24]. It is thought that the upregulation of inflammatory 480 cytokines, including IL-6, was caused by the stimulation of pattern-recognition receptors, 481 such as toll-like receptor (TLR)-7 and retinoic acid-inducible gene I (RIG-I)/melanoma 482 differentiation-associated gene 5 (MDA-5) in antigen-presenting cells [25-30]. Since it was 483 reported that the co-stimulation of B-cell receptor and TLR-7 increased somatic 484 hypermutation and memory B-cell responses [31], we examined the Ig repertoire, including 485 the clonal frequency and the number of subclones, the expansion of which indicates somatic 486 hypermutation, before and after vaccination/challenge infection. The analysis of B-487 lymphocyte clones that responded after the 1<sup>st</sup> vaccination showed that the B-lymphocyte 488 clones in macaques immunized with WPV included a larger number of subclones than did those in macaques immunized with SV. This suggests that WPV induces B-lymphocyte 489 490 activation including somatic hypermutation and affinity maturation, especially at the 491 priming stage of the 1<sup>st</sup> vaccination [7]. However, the average number of subclones in the 492 WVP group was not necessarily related to the neutralization titers at week 2.

493 The antibody titers of IgG specific for vaccine antigens and neutralizing antibodies 494 against the challenge strains were increased after challenge infection in macaques vaccinated 495 with WPV and SV. However, the average number of subclones in responding clones did not 496 increase in the vaccinated macaques (Fig. 6B) since the number of subclones did not increase 497 in all of the responding clones after challenge infection (Supplemental Fig. S4B). These 498 results suggest that the increase in Ig gene transcripts (presumably the increase in the amount 499 of Ig proteins) rather than affinity maturation contributes to the increase in the neutralization 500 titers at the challenge infection. In addition, when the subclone numbers in the B-lymphocyte 501 clones responding to the challenge infection (week 8) were tracked back to weeks 0 and 2 in Supplemental Fig. S4B, the percentage of clones responding to the 1<sup>st</sup> vaccination with WPV 502

(31.2% on average) was larger than that of clones responding to the 1<sup>st</sup> vaccination with SV 503 504 (9.09% on average). This means that the B-lymphocytes activated by the 1<sup>st</sup> vaccination with 505 WPV responded at the challenge infection, indicating that WPV induces memory B-506 lymphocytes that are reactivated at the challenge infection. Furthermore, since virus 507 propagation was very limited in macaques vaccinated with WPVs, a small amount of viral 508 antigen is thought to effectively activate memory B-lymphocytes in macaques vaccinated 509 with WPVs, suggesting that WPVs have the advantage of inducing memory B-lymphocytes 510 that are sensitive to a small amount of antigen entering through a different site (airway) from 511 the site of the priming (subcutaneous tissue).

512 In our present and previous studies, WPVs was more effective in reduction of virus 513 titers and amelioration of clinical signs of disease than SVs [3,6,7,9]. Since WPVs induced 514 more vigorous neutralizing antibody responses with the subclonal expansion in 515 immunologically naïve macaques after the 1st vaccination, one of the advantages to use 516 WPVs may be the vaccination for children. In addition, the high immunogenicity of WPVs 517 may contribute to reduction in a dose of vaccines at the 1<sup>st</sup> vaccination for priming [7]. On 518 the other hand, the second immunization with WPVs induced a further increase of antigen-519 specific IgG and neutralization titers in blood samples, indicating that WPVs have a boost 520 effect in the human population in the presence of preexisting immunity against influenza 521 viruses. Therefore, vaccination with WPVs has advantages for naïve and immunized 522 individuals against influenza viruses over SVs.

In the present study, we confirmed the efficacy of WPVs against seasonal influenza A and B viruses using cynomolgus macaques and revealed that WPVs induce the differentiation of subclones in B-lymphocytes responding to vaccine antigens, especially at the priming stage. In human studies on vaccination, it is sometimes difficult to evaluate the immunogenicity of vaccines and to perform Ig repertoire analysis at the priming stage since

528 most human adult participants are not immunologically naïve against influenza viruses 529 [17,29]. Thus, it is advantageous to use naïve macaques to examine the immunogenicity of 530 vaccines and alterations in the Ig repertoire due to vaccination at the priming in addition to 531 the challenge infection. We did not practically isolate B-lymphocyte clones reacting to 532 vaccination. However, a comparison of Ig gene frequencies before and after 533 vaccination/challenge infection allowed us to identify B-lymphocytes responding to 534 stimulations. Thus, WPVs induced an increase of subclones in B-lymphocytes, resulting in 535 affinity maturation and effective antibody responses for protection against seasonal 536 influenza virus infection. Since the differentiation and activation of B-lymphocytes require 537 the cooperation of helper T-cells, we will examine the characteristics of T-cell repertoires 538 responding to vaccine antigens in the future.

539

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- 556

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#### 657 **Figure legends**

### **Figure 1. Virus titers in nasal swab samples of macaques infected with H1N1 influenza**

#### 659 A virus or influenza B virus

660 Cynomolgus macaques were subcutaneously immunized twice with the whole virus particle 661 vaccine (WPV) inactivated with formalin and  $\beta$ -propiolactone (C), with  $\beta$ -propiolactone (F, J) or with the split vaccine (SV) (B, E, I). Four weeks after the 2<sup>nd</sup> vaccination, the challenge 662 663 virus A/Narita/1/2009 (H1N1) (A-G) or B/Yokohama/14/2015 (Victoria lineage) (H-K) was 664 inoculated into the nostrils of macaques. Nasal swab samples were collected on the indicated 665 days. (A, H) Control macaques (A: saline; H: without vaccination). (B, E, I) Macaques 666 vaccinated with the SV. (C, F, J) Macaques vaccinated with the WPV. (D, G, K) Averages 667 and standard deviations of virus titer areas under the virus titer time curves (virus titer AUCs) 668 in nasal swab samples were calculated on the basis of the titers shown in Fig. 1A-C, E, F, 669 and H-J. Virus titers under the detection limit were calculated as 0. Averages and standard 670 deviations of three macaques are shown. Red: control; blue: SV; and orange: WPV. The P-671 values indicated in the graphs were calculated with one-way ANOVA with multiple 672 comparisons (Bonferroni method), the Student's t-test, and the Mann-Whitney U-test, 673 respectively.

674

#### **Figure 2. Body temperatures in macaques after inoculation with the challenge viruses**

676 Cynomolgus macaques were subcutaneously immunized with WPV inactivated with (A) 677 formalin and β-propiolactone, (B, C) β-propiolactone or with SV (A-C). On day 0, 4 weeks 678 after the  $2^{nd}$  vaccination, (A, B) A/Narita/1/2009 (H1N1) or (C) B/Yokohama/14/2015 679 (Victoria lineage) was inoculated into the nasal cavities of the macaques. Average body 680 temperatures from 8 p.m. to 8 a.m. the next day were calculated on the basis of data for 681 individual macaques since temperatures during the daytime are affected by anesthesia. For example, the temperatures on day 0 mean the average temperatures between 8 p.m. on day 0 and 8 a.m. on day 1 after virus inoculation. The average body temperatures of each day were compared to those of day -1 (from 8 p.m. on day -1 to 8 a.m. on day 0 before virus inoculation). Asterisks indicate significant differences between the saline group and the WPV group (P < 0.05, one-way ANOVA with multiple comparisons (Bonferroni method)).

687

#### **Figure 3. Viral pneumonia in macaques after inoculation with the challenge viruses**

689 Cynomolgus macaques were subcutaneously immunized with WPV inactivated with formalin and  $\beta$ -propiolactone or with SV. On day 0, 4 weeks after the 2<sup>nd</sup> vaccination, 690 691 A/Narita/1/2009 (H1N1) was inoculated into the nasal cavities of the macaques. (A-C) Lung 692 tissues were collected on day 7 after challenge virus inoculation. Hematoxylin and eosin 693 sections were examined. A: control; B: SV; and C: WPV. Bars indicate 100 µm. (D) 694 Histological scores of pneumonia. Histological pneumonia was evaluated as described in the 695 Materials and Method. Dots indicate the average histological scores of 6 to 8 lung sections 696 in individual macaques and bars indicate averages of three macaques. A significant 697 difference between the saline group and WPV group was calculated by one-way ANOVA 698 with multiple comparisons (Bonferroni method).

699

# Figure 4. Antibody responses specific for vaccine antigens and influenza A and B viruses in vaccinated cynomolgus macaques

Cynomolgus macaques were subcutaneously immunized twice (weeks 0 and 3) with SVs, with WPVs inactivated with formalin and  $\beta$ -propiolactone, or with  $\beta$ -propiolactone. Plasma was collected at the indicated weeks before and after the 1<sup>st</sup> vaccination (A-F). Plasma was collected in week 4 (G) and week 5 (H, I). (A-C) IgG antibodies specific for vaccine antigens in diluted sera were analyzed by ELISA. Optical densities at 450 nm are shown. (D-I) The 707 neutralization titers against (D, E) A/Narita/1/2009 (H1N1), (G, H) 708 A/Singapore/GP1908/2015 (H1N1), (F) B/Yokohama/14/2015 (Victoria lineage), and (I) 709 B/Maryland/15/2016 (Victoria lineage) are expressed as reciprocals of the dilution of the 710 plasma samples that showed a cytopathic effect in 50% of the wells. Detection limits were 711 1:2<sup>3</sup> (dotted lines). SV and WPV mean averages of three macaques. (J-L) Correlation 712 analysis between neutralization titers and nasal virus titer AUCs. 50% neutralization titers 713 in week 4 shown in Fig. 4D, E and F were plotted with nasal virus titer AUCs calculated 714 based on Fig. 2B, C, E, F, I, and J.

715

# Figure 5. The amino acid lengths of the CDR3 region of Ig clones increasing by more than 2-fold after the 1<sup>st</sup> vaccination

The amino acid lengths of CDR3 region were determined in the Ig clones that increased by more than 2-fold in the percentage from week 0 to week 2 (Table 2). Dots indicate average CDR3 lengths of Ig clones in individual macaques. Bars indicate SD. No significant differences were calculated among the groups (one-way ANOVA with multiple comparisons (Bonferroni method)).

723

#### 724 Figure 6. Number of Ig subclones of responding B-lymphocyte clones

Peripheral blood cells of cynomolgus macaques were collected at the indicated weeks after the 1<sup>st</sup> vaccination. Ig heavy chain genes were amplified and sequenced as shown in Supplemental Table S3. After the differentiation of clones by CDR3 sequences, subclones were identified. The average numbers of subclones in clones that increased (A) from week 0 to week 2 (data of individual macaques were shown in Supplemental Table S4.) and (B) from week 7 to week 8 in individual macaques are shown.

Figure 1



**Figure 1. Virus titers in nasal swab samples of macaques infected with H1N1 influenza A virus or influenza B virus** Cynomolgus macaques were subcutaneously immunized twice with the whole virus particle vaccine (WPV) inactivated with formalin and  $\beta$ -propiolactone (C, D), with  $\beta$ -propiolactone (F, J) or with the split vaccine (SV) (B, E, I). Four weeks after the 2<sup>nd</sup> vaccination, the challenge virus A/Narita/1/2009 (H1N1) (A-G) or B/Yokohama/14/2015 (Victoria lineage) (H-K) was inoculated into the nostrils of macaques. Nasal swab samples were collected on the indicated days. (A, H) Control macaques (A: saline; H: without vaccination). (B, E, I) Macaques vaccinated with the SV. (C, F, J) Macaques vaccinated with the WPV. (D, G, K) Averages and standard deviations of virus titer areas under the virus titer time curves (virus titer AUCs) in nasal swab samples were calculated on the basis of the titers shown in Fig. 1A-C, E, F, and H-J. Virus titers under the detection limit were calculated as 0. Averages and standard deviations of three macaques are shown. Red: control; blue: SV; and orange: WPV. The P-values indicated in the graphs were calculated by one-way ANOVA with <u>the multiple comparions</u> (Bonferroni method), the Student's *t*-test, and the Mann-Whitney U-test, respectively.



#### Figure 2. Body temperatures in macaques after inoculation with the challenge viruses

Cynomolgus macaques were subcutaneously immunized with WPV inactivated with (A) formalin and  $\beta$ -propiolactone, (B, C)  $\beta$ -propiolactone or with SV (A-C). On day 0, 4 weeks after the 2<sup>nd</sup> vaccination, (A, B) A/Narita/1/2009 (H1N1) or (C) B/Yokohama/14/2015 (Victoria lineage) was inoculated into the nasal cavities of the macaques. Average body temperatures from 8 p.m. to 8 a.m. the next day were calculated on the basis of data for individual macaques since temperatures during the daytime are affected by anesthesia. For example, the temperatures on day 0 mean the average temperatures between 8 p.m. on day 0 and 8 a.m. on day 1 after virus inoculation. The average body temperatures of each day were compared to those of day -1 (from 8 p.m. on day -1 to 8 a.m. on day 0 before virus inoculation). Asterisks indicate significant differences between the saline group and the WPV group (P < 0.05, one-way ANOVA with multiple comparisons (Bonferroni method)).



### Figure 3. Viral pneumonia in macaques after inoculation with the challenge viruses

Cynomolgus macaques were subcutaneously immunized with WPV inactivated with formalin and  $\beta$ -propiolactone or with SV. On day 0, 4 weeks after the 2<sup>nd</sup> vaccination, A/Narita/1/2009 (H1N1) was inoculated into the nasal cavities of the macaques. (A-C) Lung tissues were collected on day 7 after challenge virus inoculation. Hematoxylin and eosin sections were examined. A: control; B: SV; and C: WPV. Bars indicate 100 µm. (D) Histological scores of pneumonia. Histological pneumonia was evaluated as described in the Materials and Method. Dots indicate the average histological scores of 6 to 8 lung sections in individual macaques and bars indicate averages of three macaques. A significant difference between the saline group and WPV group was calculated by one-way ANOVA with multiple comparions (Bonferroni method).



### Figure 4. Antibody responses specific for vaccine antigens and influenza A and B viruses in vaccinated cynomolgus macaques

Cynomolgus macaques were subcutaneously immunized twice (weeks 0 and 3) with SVs, with WPVs inactivated with formalin and β-propiolactone, or with β-propiolactone. Plasma was collected at the indicated weeks before and after the 1<sup>st</sup> vaccination (A-F). Plasma was collected in week 4 (G) and week 5 (H, I). (A-C) IgG antibodies specific for vaccine antigens in diluted sera were analyzed by ELISA. Optical densities at 450 nm are shown. (D-I) The neutralization titers against (D, E) A/Narita/1/2009 (H1N1), (G, H) A/Singapore/GP1908/2015 (H1N1), (F) B/Yokohama/14/2015 (Victoria lineage), and (I) B/Maryland/15/2016 (Victoria lineage) are expressed as reciprocals of the dilution of the plasma samples that showed a cytopathic effect in 50% of the wells. Detection limits were 1:2<sup>3</sup> (dotted lines). <u>SV and WPV mean averages of three macaques. (J-L) Correlation analysis between neutralization titers and nasal virus titer AUCs. 50% neutralization titers in week 4 shown in Fig. 4D, E and F were plotted with nasal virus titer AUCs calculated based on Fig. 2B, C, E, F, I, and J.</u>

		Weeks after 1 <sup>st</sup> vaccination					
Vaccine	Monkey	0	2	5	7	8	
	H1C1	2100 <sup>1</sup>	3389	961	2220	3015	
Saline	H1C2	4127	4200	742	1724	2858	
	H1C3	8037	5319	682	1212	1141	
	H1S1	4850	6093	1974	3801	3246	
SV	H1S2	3394	5572	3308	3159	1903	
	H1S3	437	6331	3855	3390	899	
	H1W1	1361	3972	3109	1890	1426	
WPV	H1W2	1414	7357	4056	829	1351	
	H1W3	894	4549	3336	1820	3002	

Table 1. Number of Ig clones before and after vaccination and challenge infection

<sup>1</sup> Ig clones were determined as Ig heavy chain gene sequences that had identical V and J genes and identical amino acid lengths in the CDR3 regions with more than 90% similarity in the amino acid sequences.

Vaccine	Monkey	Number of clones <sup>1</sup>	%clones <sup>2</sup>	Average Number of clones	SD	Average %	SD%
	H1C1	34	1.62	98.7	56.1	2.16	0.97
Saline	H1C2	135	3.27				
	H1C3	127	1.58				
	H1S1	121	2.49				
SV	H1S2	120	3.54	85.7	60.3	3.23 <sup>3</sup>	0.64
	H1S3	16	3.66				
WPV	H1W1	22	1.62	18.7	6.7	1.49 <sup>3</sup>	0.23
	H1W2	23	1.63				
	H1W3	11	1.23				

Table 2. Number of Ig clones increasing 2 weeks after the 1<sup>st</sup> vaccination

<sup>1</sup> Ig clones were identified as described in Table 1. After the calculation of the frequency of each clone in the total reads, the clones that increased by more than 2-fold in the percentage from week 0 to week 2 (before and after the 1<sup>st</sup> vaccination) were counted.

<sup>2</sup> The percentage of clones that increased by more than 2-fold was calculated as follows:  $\$  clones = number of clones that increased by more than 2-fold in the percentage from week 0 to week 2 / the number of clones in week 0.

<sup>&</sup>lt;sup>3</sup> A significant difference was detected in the percentage of clone numbers increasing after the 1<sup>st</sup> vaccination between the SV group and the WPV group (P = 0.011, Student's t-test), but not by one-way ANOVA with multiple comparisons (Bonferroni method).



### Figure 5. The amino acid lengths of the CDR3 region of Ig clones increasing by more than 2-fold after the 1<sup>st</sup> vaccination

The amino acid lengths of CDR3 region were determined in the Ig clones that increased by more than 2-fold in the percentage from week 0 to week 2 (Table 2). Dots indicate average CDR3 lengths of Ig clones in individual macaques. Bars indicate SD. No significant differences were calculated among the groups (one-way ANOVA with multiple comparisons).

Vaccine	Monkey	Number of responding clones <sup>1</sup>	Number of clones with >10 fold increase <sup>2</sup>	%clones with >10-fold increase <sup>3</sup>	Average <sup>%4</sup>	SD%
	H1C1	34	5	14.71		
Saline	H1C2 135 35 25.93 19	19.58	5.75			
	H1C3	127	23	18.11		
	H1S1	121	9	7.44		
SV	H1S2	120	19	15.83	22.34	19.01
	H1S3	16	7	43.75		
	H1W1	22	. 8	36.36	<b>.</b>	
WPV	H1W2	23	3	13.04	. 37.68	25.32
	H1W3	11	7	63.64		

Table 3. Ig clones increasing by more than 10-fold 2 weeks after the 1<sup>st</sup> vaccination

<sup>1</sup> The number of clones that increased by more than 2-fold in the percentage from week 0 to week 2 as Table 2.

<sup>2</sup> The number of clones that increased by more than 10-fold in the percentage from week 0 to week 2. <sup>3</sup> The percentage of clones that increased by more than 10-fold was calculated as follows: %clones = the number of clones that increased by more than 10-fold / the number of clones that increased by more than 2-fold.

<sup>4</sup> No significant difference in the percentage of clones with a more than 10-fold increase between the Saline group and the WPV group and between the SV group and the WPV group was calculated by the one-way ANOVA with multiple comparisons (Bonferroni method).



#### Figure 6. Number of Ig subclones of responding B-lymphocyte clones

Peripheral blood cells of cynomolgus macaques were collected at the indicated weeks after the 1<sup>st</sup> vaccination. Ig heavy chain genes were amplified and sequenced as shown in Supplemental Table S3. After the differentiation of clones by CDR3 sequences, subclones were identified. The average numbers of subclones in clones that increased (A) from week 0 to week 2 (data of individual macaques were shown in Supplemental Table S4.) and (B) from week 7 to week 8 in individual macaques are shown.

Vaccine	Monkey	Number of clones <sup>1</sup>	%clones <sup>2</sup>	Average Number of clones	SD	Average%	SD%
	H1C1	33	1.49	28.0	4.58	1.68	0.265
Saline	H1C2	27	1.57				
	H1C3	24	1.98				
	H1S1	191	5.02				
SV	H1S2	99	3.13	126.3	56.2	3.59	1.26
	H1S3	89	2.63				
	H1W1	57	3.02				
WPV	H1W2	22	2.65	40.3	17.6	2.66	0.354
	H1W3	42	2.31				

Table 4. Number of Ig clones increasing after the challenge infection

<sup>1</sup> Ig clones were identified as described in Table 1. After the calculation of the frequency of each clone in the total reads, the clones that increased by more than 2-fold in the percentage from week 7 to week 8 (before and after the challenge infection) were counted. <sup>2</sup> The percentage of clones that increased by more than 2-fold was calculated as followings: %clones = number of clones that increased by more than 2-fold in the percentage from week 7 to week 8/ the number of clones in week 7.

<sup>3</sup> A significant difference was detected in the percentage of clone numbers increasing after the challenge infection between the saline group and the SV group (P = 0.021, Student's ttest). The P value of a comparison between the SV group and the WPV group was 0.051. No significant difference was detected by one-way ANOVA with multiple conparisons (Bonferroni method).