

1 **Adverse impact of postoperative intra-abdominal infectious complications on cancer**  
2 **recurrence-related survival after curative gastric cancer surgery**

3

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22

23 **Abstract**

24 **Background:** This study aimed to evaluate the impact of postoperative intra-abdominal  
25 infectious complications (PICs) on survival after surgery for gastric cancer.

26 **Methods:** A total of 152 patients who underwent curative gastrectomy for gastric cancer were  
27 included. The effect of clinicopathological features and PICs on recurrence-free survival (RFS)  
28 and overall survival (OS) were investigated.

29 **Results:** The median age was 67 years. The pathological stage was stage I (61), II (40), and III  
30 (51). Thirty-two patients (21.1%) had PICs: 9, pancreatic fistula; 14, anastomotic leakage; and  
31 17, intra-abdominal abscess. The five-year RFS and OS rates were significantly lower in  
32 patients with PICs than in those without PICs (63.4 vs. 85.6%;  $p < 0.01$  and 56.4 vs. 80.3%;  $p$   
33  $< 0.01$ , respectively). In multivariate analysis, intraoperative blood loss was an independent  
34 prognostic factor for PICs.

35 **Conclusions:** Patients with PICs had worse clinical outcomes. Reducing intraoperative  
36 bleeding may improve the prognosis of gastric cancer.

37

38 **Keywords:** gastric cancer, postoperative intra-abdominal infectious complications,  
39 intraoperative bleeding, postoperative complication

## 40 INTRODUCTION

41 Gastrectomy with lymph node dissection (LND) is the preferred curative treatment option for  
42 patients with gastric cancer,<sup>1</sup> and postoperative adjuvant chemotherapy improves survival.<sup>2</sup>  
43 However, a significant number of patients suffer from recurrence, particularly after surgery for  
44 advanced gastric cancer, even after R0 resection.<sup>3-5</sup> Gastrectomy with D2 LND has been  
45 accepted as the standard treatment for advanced gastric cancer.<sup>1,6,7</sup> However, Western  
46 randomized trials have failed to provide sufficient evidence on the efficacy of D2 LND,  
47 presumably because of the increased incidence of postoperative morbidity. In particular, this  
48 result is thought to be attributed to an increase in early in-hospital deaths after D2 LND.<sup>8-10</sup>  
49 Another possible reason is that postoperative complications could have increased the incidence  
50 of deaths following cancer recurrence.

51       Recently, postoperative morbidity has been reported to have adverse effects on long-term  
52 as well as short-term outcomes in several tumors.<sup>11</sup> In colorectal cancer, anastomotic leakage  
53 is generally associated with a high rate of local recurrence and a poor long-term survival rate.<sup>12-</sup>  
54 <sup>14</sup> In gastric cancer, patients with postoperative intra-abdominal infectious complications  
55 (PICs) may follow a severe clinical course. Moreover, PICs may adversely affect both long-  
56 term and short-term outcomes. Tokunaga et al.<sup>11</sup> reported that PICs were strongly associated  
57 with poor overall survival (OS) and recurrence-free survival (RFS). Surgical trauma can impair  
58 tissue integrity and activate inflammatory mediators and angiogenic factors.<sup>15</sup> However, it

59 remains unclear whether complications or inflammation that occurs early after gastric cancer  
60 surgery is associated with long-term cancer recurrence and prognosis.

61 This study aimed to evaluate the long-term prognosis in patients with PICs after curative  
62 gastric cancer surgery.

63

## 64 **MATERIALS AND METHODS**

### 65 *Patients and study approval*

66 We investigated 152 consecutive patients with gastric cancer who underwent curative surgery  
67 for LND between January 2014 and December 2017 at the Department of Gastrointestinal  
68 Surgery, Shiga University of Medical Science Hospital, Japan. Tumor stage and pathological  
69 classification were described according to the *Japanese Classification of Gastric Carcinoma*.<sup>16</sup>

70 The study protocol was approved by the Ethics Committee of Shiga University of  
71 Medical Science and was in accordance with the principles of the Declaration of Helsinki.  
72 Informed consent was obtained from all patients.

73

### 74 *Postoperative intra-abdominal infectious complications*

75 In this study, the Clavien–Dindo (CD) classification was used to classify postoperative intra-  
76 abdominal complications in each patient.<sup>17,18</sup> According to the CD classification, patients were  
77 classified as having grade II complications if antibiotics were administered and grade IIIa or  
78 IIIb if surgical intervention was indicated. If patients required admission to the intensive care  
79 unit, they were regarded as having grade IVa or IVb complications. Postoperative mortality  
80 was considered as a grade V complication. If multiple complications occurred in a single  
81 patient, the highest grade was used. A PIC was defined as pancreatic fistula, anastomotic  
82 leakage, or intra-abdominal abscess, and classified as grade II or higher.

83

84 *Postoperative clinical outcomes*

85 Independent prognostic factors were identified using the Cox proportional hazards model. In  
86 the analysis, age, sex, tumor invasion, lymph node metastasis, histological type, pathological  
87 stage, operation time, intraoperative blood loss, PIC, and postoperative complications other  
88 than PIC were included as covariates. Independent risk factors for PICs were identified using  
89 logistic regression analysis wherein age, sex, tumor invasion, lymph node metastasis, LND,  
90 operation time, and intraoperative blood loss were included as covariates.

91

92 *Survival*

93 Based on the PIC results, patients were divided into two groups: the PIC group and the non-  
94 PIC group. RFS and OS were analyzed using the Kaplan–Meier method. OS and RFS were  
95 calculated from the date of initial surgery to the date of death or a clinical diagnosis of  
96 recurrence, respectively. Differences between the survival curves were analyzed using the  
97 generalized log-rank test.

98

99 *Statistical analyses*

100 All statistical analyses were performed using EZR (Saitama Medical Center, Jichi Medical  
101 University, Saitama, Japan), which is a graphical user interface for R software (The R  
102 Foundation for Statistical Computing, version 2.13.0, Vienna, Austria).<sup>19</sup> Independent factors  
103 that appeared to be significant in the univariate analysis were subsequently assessed using  
104 multivariate analysis. Confidence intervals (CIs) were determined at a 95% level. Statistical  
105 significance was set at  $p < 0.05$ .

106

107

## 108 **RESULTS**

### 109 *Patient characteristics and PICs*

110 This study evaluated 152 patients who underwent curative gastrectomy with LND. Clinical  
111 characteristics of the patients are presented in Table 1. Of the 152 patients, 32 (21.1%) were  
112 found to have the following PICs: 9 with pancreatic fistula, 14 with anastomotic leakage, and  
113 17 with intra-abdominal abscess (including overlap cases). Among the patients who underwent  
114 D2 lymph node dissection, 24 were found to have the following PICs: eight with pancreatic  
115 fistula (8.8%), eight with anastomotic leakage (8.8%), and 16 with intra-abdominal abscess  
116 (17.6%). Postoperative complications other than PIC were also identified: two patients with  
117 pulmonary embolism, five with pneumonia, two with intestinal obstruction, five with renal  
118 dysfunction, and one with postoperative bleeding.

119 Among those diagnosed with stages II and III, 72 patients received adjuvant chemotherapy  
120 (AC). Of 32 patients in the PIC group, 24 patients were indicated for AC, and 20 patients  
121 received AC (83.3%). Of 120 patients in the non-PIC group, 67 patients were indicated for AC,  
122 and 52 patients received AC (77.6%). There was no difference in the number of patients  
123 receiving AC ( $p = 0.55$ ). In addition, the completion rate of AC was 95% and 92.3% in the PIC  
124 group and non-PIC group, respectively ( $p = 0.68$ ).

125

### 126 *PICs as a prognostic factor*

127 Postoperative RFS and OS were analyzed using the Cox proportional hazards model, which  
128 showed that PIC was an independent prognostic factor (RFS: hazard ratio, 2.51; 95% CI, 1.14–  
129 5.51;  $p = 0.046$ ; OS: hazard ratio, 2.746; 95% CI, 1.15–5.31;  $p = 0.019$ ) (Table 2).  
130 Complications other than PICs were not significantly associated with survival. In addition, AC  
131 was also not significantly correlated with patient survival.

132

133 *Risk factor for PICs*

134 We evaluated the risk factors for PICs. As shown in Table 3, multivariate analysis using logistic  
135 regression identified intraoperative blood loss as an independent risk factor for PICs (odds ratio,  
136 2.61; 95% CI, 1.09–5.49;  $p = 0.043$ ).

137

138 *PICs and clinical outcomes*

139 We also assessed the correlation between PICs and clinical outcomes. Table 4 shows the  
140 details of subgroup analysis of 32 patients in the PIC group and 120 in the non-PIC group.  
141 Pathological tumor invasion, pathological stage, D2 LND, operation time, and intraoperative  
142 blood loss were significantly higher in the PIC group than in the non-PIC group ( $p < 0.05$ ).  
143 Figure 1 shows the RFS and OS in both groups. The five-year RFS and OS rates were 63.4 and  
144 56.4% in the PIC group and 85.6 and 80.3% in the non-PIC group, respectively. The RFS and  
145 OS in the PIC group were significantly lower than those in the non-PIC group ( $p < 0.01$  in both  
146 cases).

147 Intra-abdominal surgery, percutaneous drainage, or antibiotics alone were the treatments  
148 provided when PICs were detected. The anastomotic leaks were managed as follows: four  
149 patients with intra-abdominal surgery, six patients with percutaneous drainage, and four  
150 patients with antibiotics alone. The pancreatic fistulas were managed as follows: five patients  
151 with percutaneous drainage and four patients with antibiotics alone. The intra-abdominal  
152 abscesses were managed as follows: nine patients with percutaneous drainage and eight  
153 patients with antibiotics alone. The RFS was 24.5% and 70.1% in CD grade III and grade II,  
154 respectively ( $p = 0.041$ ). The OS was 29.1% and 75% in CD grade III and grade II, respectively  
155 ( $p = 0.075$ ). The patients who required drainage or surgery had poor survival outcomes.

156 These findings indicate that patients with PICs have worse clinical outcomes. Prevention  
157 of PICs can contribute to better clinical outcomes.



158

159 **DISCUSSION**

160 The findings of this study showed that PICs had a significant impact on RFS and OS in patients  
161 with gastric cancer who underwent curative gastrectomy. Therefore, prophylaxis for PICs is  
162 important to improve clinical outcomes.

163 For patients with gastric cancer, gastrectomy with LND is the preferred curative  
164 treatment option, and perioperative AC generally improves survival.<sup>1,2,20</sup> However, surgery  
165 inevitably results in several postoperative complications. Despite the best efforts of  
166 gastrointestinal surgeons and the advancements in surgical technology, the incidence of  
167 postoperative complications has been reported to range from 20 to 35%.<sup>21-24</sup>

168 Several reports have shown a strong correlation between postoperative complications and  
169 poor long-term outcomes in gastric cancer. In a study by Tokunaga et al.,<sup>11</sup> which only included  
170 patients who underwent surgery before 2006 to eliminate the effects of perioperative  
171 chemotherapies, it was found that PICs adversely affected OS and RFS. This indicates that  
172 PICs has an impact on prognosis regardless of chemotherapy. Yu et al.<sup>24</sup> reported that not only  
173 PICs but also other postoperative complications, including pneumonia, urinary tract infection,  
174 and non-infectious complications, had a significant negative impact on long-term survival. In  
175 contrast, in the current study, PICs were significantly associated with RFS and OS, while  
176 postoperative complications other than PICs were not associated with prognosis. Although the  
177 relationship between non-PIC complications and prognosis is not fully understood, our findings  
178 are consistent with previous results suggesting that intra-abdominal complications  
179 accompanied by infection increase the risk of cancer recurrence and affect survival. In addition,  
180 intraoperative blood loss was identified as an independent risk factor for the development of  
181 PICs. Thus, efforts to reduce bleeding, such as attempting a less invasive surgery and/or careful  
182 hemostasis, can reduce early postoperative complications and improve the long-term prognosis

183 of cancer. However, the correlation between blood loss and PICs is unclear. Blood loss is  
184 considered to cause not only local damage, but also systematic change and organ ischemia. The  
185 loss of wound healing factors (glutamine and arginine) by blood loss may lead to postoperative  
186 complications. In the future, further study is required to show the correlation between blood  
187 loss and PICs.

188 It remains unclear how exactly PICs affect the long-term outcomes in patients. In  
189 previous works, we have shown that viable cancer cells spill into the peritoneal cavity during  
190 curative gastric cancer surgery, in which cancer cells are associated with recurrence.<sup>15,25,26</sup>  
191 Generally, a single free cancer cell easily undergoes apoptosis *in vivo*, and immunological  
192 defenses may eliminate most of the disseminated tumor cells. However, various metastatic  
193 factors induced by surgery have been recently proposed.<sup>27,28</sup> Surgical trauma impairs tissue  
194 integrity and induces the activity of inflammatory mediators and angiogenic factors, leading to  
195 immune suppression, enhanced tumor cell adhesion, and augmented tumor growth. In addition,  
196 PICs may accelerate these local and systemic changes. Thus, environmental changes  
197 surrounding cancer cells caused by PICs may contribute to the recurrence of cancer cells  
198 present in the abdominal cavity after surgery.

199 Furthermore, the incidence of PICs may also delay the initiation of chemotherapy. If the  
200 patient physical status and postoperative recovery are appropriate, AC should be recommended  
201 in a timely manner for those at a high risk of recurrence. The administration of perioperative  
202 chemotherapies has been accepted because it increases the survival rate of patients with  
203 advanced gastric cancer.<sup>2,29-31</sup> Tokunaga et al.<sup>11</sup> reported that PICs adversely affected the OS  
204 and RFS by hindering the administration of AC in patients. This suggests that PICs themselves  
205 affect clinical outcomes. Lu et al.<sup>32</sup> reported that every four-week delay in the initiation of AC  
206 was associated with worse survival outcomes. However, Greenleaf et al.<sup>33</sup> reported that the  
207 time to the initiation of AC did not affect survival. The relationship between the delay in AC

208 initiation due to the onset of PICs and survival time thus requires further investigation.

209       Some limitations to consider when interpreting the findings of the current study include  
210 the relatively small sample size and the single-center retrospective design. Nonetheless, there  
211 was a significant prognostic difference established between the PICs and non-PICs groups. In  
212 the future, the relationship between PICs and recurrence should be investigated in a multicenter  
213 large-scale study. In addition, the mechanism by which PICs induce recurrence should be  
214 elucidated in terms of delayed initiation of chemotherapy and changes in the microenvironment  
215 of intraperitoneal cancer cells.

216       The efforts to reduce early postoperative complications are necessary to improve the long-  
217 term prognosis of cancer. Ida et al. reported that the cause of pancreatic leakage can be  
218 compression by the assistant's forceps.<sup>34</sup> Therefore, standardization of the surgical procedure  
219 is required to reduce postoperative complications. The use of several other techniques, devices,  
220 and nutritional support may help prevent these complications.

221

## 222 **CONCLUSIONS**

223 This study confirmed that PICs in patients after gastric cancer surgery were associated with  
224 poor RFS and OS. Patients with PICs had worse clinical outcomes. Surgery aimed to reduce  
225 bleeding, which is an independent risk factor for PIC, may not only reduce postoperative  
226 complications but also contribute to improving the overall prognosis. It is necessary to  
227 elucidate the mechanism by which PICs lead to postoperative recurrence of cancer and to  
228 establish a therapeutic strategy to prevent the development of PICs.

229

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231

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233

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235 commercial, or not-for-profit sectors.

236

237

### 238 **List of abbreviations**

239 PIC: postoperative intra-abdominal infectious complication

240 RFS: recurrence-free survival

241 OS: overall survival

242 LND: lymph node dissection

243 CD: Clavien–Dindo

244 AC: adjuvant chemotherapy

245 CI: confidence intervals

246

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350

351 **Figure legends**

352 **Figure 1.** Recurrence-free survival and overall survival after curative surgery for gastric cancer.

353 The curves are plotted using the Kaplan-Meier method and analyzed using the log-rank test.

354 Survival curves are separated according to postoperative intra-abdominal infectious  
355 complication.

356

357 **Tables**358 **Table 1.** Characteristics of gastric cancer patients (n=152)359 **Table 2.** Univariate and multivariate analysis of evaluable factors in recurrence-free survival  
360 and overall survival361 **Table 3.** Univariate and multivariate analysis of risk factors on postoperative intra-abdominal  
362 infectious complication363 **Table 4.** Comparison of clinicopathological factor selected accordingly to postoperative intra-  
364 abdominal complication

365

Table 1 Characteristics of patients who underwent gastrectomy and lymph node dissection

<b>Patients with gastric cancer (n = 152)</b>	
<b>Age (median, range) (years)</b>	67 (35–93)
<b>Sex</b>	
Male / Female	112 / 40
<b>Pathological tumor invasion</b>	
m / sm / mp / ss / se	22 / 33 / 26 / 29 / 42
<b>Pathological lymph node metastasis</b>	
0 / 1 / 2 / 3	78 / 21 / 28 / 25
<b>Pathological stage</b>	
I / II / III	61 / 40 / 51
<b>Cytology</b>	
negative / positive	152 / 0
<b>Histological type</b>	
Differentiated / Undifferentiated	87 / 65
<b>Surgery</b>	
Distal gastrectomy / Total gastrectomy	102 / 50
<b>Operation time</b>	
median (range) (min)	425 (175-768)
<b>Intraoperative blood loss</b>	
median (range) (min)	610 (15-2254)
<b>PICs</b>	32*
pancreatic fistula	9
anastomotic leakage	14
intra-abdominal abscess	17
<b>postoperative complications other than PIC</b>	
pulmonary embolism	2
pneumonia	5
intestinal obstruction	2
renal dysfunction	5
postoperative bleeding	1
<b>Adjuvant chemotherapy (Stage II and III)</b>	91
Yes	72
No	19

m, mucosa; sm, submucosa; mp, muscularis propria; ss, subserosa; se, serosa-exposed. PICs, postoperative intra-abdominal infectious complications; \*, including overlap cases

Table 2 Multivariate analysis of evaluable factors in recurrence free survival and overall survival

**Recurrence free survival**

Factors	Univariate			Multivariate		
	HR	95%CI	analysis	HR	95%CI	analysis
age (>70)	1.478	0.70-3.11	0.3			
Sex (Male)	1.254	0.53-2.95	0.6			
Pathological tumor invasion (T2-4)	17.26	2.34-127.1	0.005	1.821	0.16-20.25	0.625
Pathological lymph node metastasis	10.41	3.13-34.5	<0.001	2.366	0.46-12.13	0.302
Pathological stage (II, III)	15.55	4.68-51.62	<0.001	4.26	0.68-26.51	0.12
Histological type	1.761	0.83-3.72	0.138			
Operation time (>480min)	1.898	0.90-3.98	0.090			
Intraoperative blood loss (>500ml)	2.194	0.96-4.99	0.060			
PIC	3.007	1.4-6.43	0.004	2.51	1.14-5.51	0.021
postoperative complications other than PIC	0.614	0.18-2.01	0.422			
adjuvant chemotherapy* (No)	0.838	0.31-2.19	0.71			

**Overall survival**

Factors	Univariate			Multivariate		
	HR	95%CI	analysis	HR	95%CI	analysis
age (>70)	3.592	1.74-7.39	<0.001	4.214	1.95-9.08	<0.001
Sex (Male)	2.645	1.01-6.87	0.045	3.625	1.36-9.60	0.009
Pathological tumor invasion (T2-4)	4.913	1.73-13.9	0.002	1.342	0.33-5.42	0.679
Pathological lymph node metastasis	3.94	1.79-8.78	<0.001	1.278	0.32-5.0	0.726
Pathological stage (II, III)	6.007	2.71-13.3	<0.001	3.877	0.79-19.02	0.094
Histological type	0.774	0.38-1.54	0.464			
Operation time (>480min)	0.9651	0.47-1.95	0.92			
Intraoperative blood loss (>500ml)	1.672	0.82-3.38	0.152			
PIC	2.615	1.28-5.3	0.007	2.476	1.15-5.31	0.019
postoperative complications other than PIC	0.671	0.20-2.20	0.511			
adjuvant chemotherapy* (No)	1.17	0.47-2.88	0.73			

HR, Hazard ratio; CI, confidence interval; PIC, postoperative intra-abdominal infectious complication;

\*, only stage II and III

Table 3 Multivariate analysis of risk factors on postoperative intra-abdominal infectious complication

Factors	Univariate			Multivariate		
	Odds	95% CI	analysis	Odds	95% CI	analysis
age (>70)	1.12	0.51-2.44	0.78			
Sex (Male)	1.78	0.67-4.71	0.243			
Pathological tumor invasion (T2-4)	3.73	1.34-10.3	0.011	2.45	0.70-8.53	0.16
Pathological Lymph node metastasis	1.97	0.88-4.39	0.097			
D2 lymph node dissection	2.98	1.33-6.69	0.007	1.59	0.57-4.35	0.37
Surgery (total gastrectomy)	1.88	0.76-3.39	0.10			
Operation time (>480min)	1.37	0.61-3.05	0.443			
Intraoperative blood loss (>500ml)	2.71	1.13-6.52	0.025	2.61	1.09-5.49	0.043

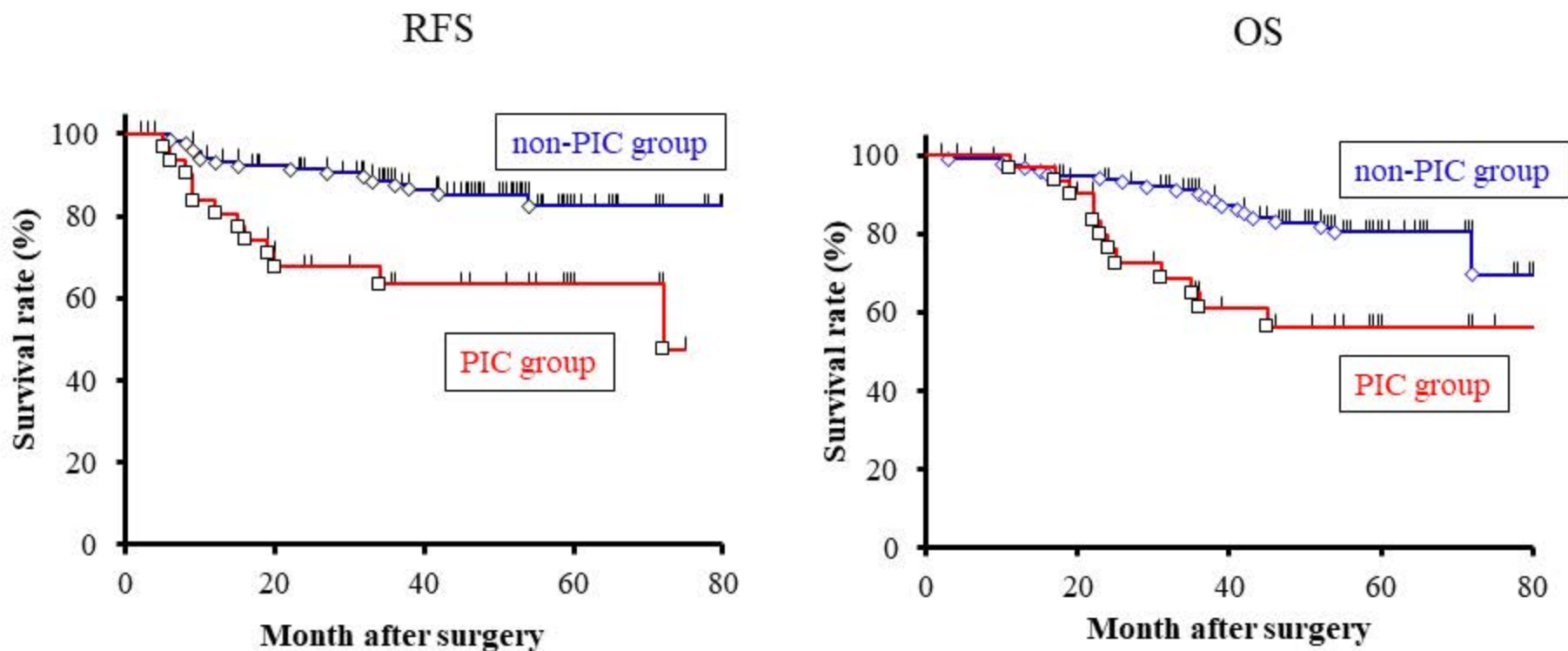
CI, confidence interval; PIC, postoperative intra-abdominal infectious complication

Table 4. Comparison of clinicopathological factor accordingly to postoperative intra-abdominal complication

	<b>Gastric cancer patients (n =152)</b>		p value
	<b>PIC group</b> (n = 32)	<b>Non- PIC group</b> (n=120)	
<b>Age (median, range) (years)</b>	70 (48-84)	68 (35-93)	0.69
<b>Sex</b>			
Male / Female	26 / 6	86 / 34	0.29
<b>Hemoglobin (median, range) (g/dL)</b>	12.3 (9.5-14.4)	12.1 (9.9- 13.9)	0.78
<b>Albumin (median, range) (g/dL)</b>	3.8 (3.5-4.0)	3.8 (3.4-4.4)	0.81
<b>Pathological tumor invasion</b>	5 / 27	50 / 70	<0.01
m, sm / mp, ss, se			
<b>Pathological lymph node metastasis</b>	12 / 6 / 7 / 7	66 / 15 / 21 / 18	0.078
0 / 1 / 2 / 3			
<b>Pathological stage</b>	8 / 6 / 18	53 / 34 / 33	0.035
I / II / III			
<b>Histological type</b>	16 / 16	71 / 49	0.35
Differentiated / Undifferentiated			
<b>Surgery</b>	18 / 14	84 / 36	0.14
Distal gastrectomy / Total gastrectomy			
<b>Lymph node dissection</b>	8 / 24	53 / 67	0.049
D1 / D2			
<b>Splenectomy</b>	2 / 30	4 / 116	0.45
yes / no			
<b>Operation time (median, range) (min)</b>	456 (297-768)	372 (175-692)	<0.01
<b>Intraoperative blood loss (median, range) (min)</b>	850 (20-2254)	516 (15-2220)	<0.01
<b>Perioperative transfusion</b>	14	24	<0.01
yes / no			

PIC, postoperative intra-abdominal infectious complication;  
m, mucosa; sm, submucosa; mp, muscularis propria; ss, subserosa; se, serosa-exposed.

Fig. 1 Recurrence-free survival and overall survival using the Kaplan-Meier method



**5-year RFS (%)**

**PIC group 63.4%** vs **non-PIC group 85.6%**  
 $p < 0.01$

**5-year OS (%)**

**PIC group 56.4%** vs **non-PIC group 80.3%**  
 $p < 0.01$